## Brompton Flaherty & Crumrine Investment Grade Preferred ETF

**Summary of Investment Portfolio**

*as at June 30, 2026*

**Total Net Asset Value** **$ 152,337,014**

| Portfolio Composition | | % of Net Asset Value |
|---|---|---|
| **Canada** | | |
| **Preferred** | | |
| Bank | 2,598,104 | 1.7% |
| Insurance | 1,542,284 | 1.0% |
| **Total Canada** | **4,140,388** | **2.7%** |
| **United States** | | |
| **Preferred** | | |
| Bank | 64,578,693 | 42.1% |
| Insurance | 41,162,675 | 27.1% |
| Utility | 23,870,642 | 15.8% |
| Energy | 5,573,711 | 3.6% |
| Finance | 2,611,395 | 1.8% |
| Communications | 2,289,936 | 1.5% |
| Miscellaneous | 413,446 | 0.3% |
| **Debt** | | |
| Insurance | 7,104,226 | 4.7% |
| Bank | 2,537,549 | 1.7% |
| Communications | 426,805 | 0.3% |
| **Total United States** | **150,569,078** | **98.9%** |
| **Total Investments** | **154,709,466** | **101.6%** |
| Cash | 1,048,152 | 0.7% |
| Net foreign currency forward contracts | (4,386,195) | (2.9%) |
| Other net assets (liabilities) | 965,591 | 0.6% |
| **Total Net Asset Value** | **152,337,014** | **100.0%** |

| Top 25 Holdings | Market Value | % of Net Asset Value |
|---|---|---|
| Delphi Financial Group, 7.103% due May 15, 2037 | 8,129,474 | 5.3% |
| MetLife Inc., 10.750% due August 01, 2039 | 5,510,000 | 3.6% |
| Provident Financing TR I, 7.405% due March 15, 2038 | 5,158,472 | 3.4% |
| Liberty Mutual Insurance, 7.697% due October 15, 2097 | 4,987,790 | 3.3% |
| Everest Reinsurance Holdings, 6.298% due May 15, 2037 | 3,586,058 | 2.4% |
| Fifth Third Bancorp., 6.875% | 3,559,396 | 2.3% |
| ING Groep NV, 7.500% | 3,289,634 | 2.2% |
| Liberty Mutual Group, 7.800% due March 15, 2037 | 2,783,824 | 1.8% |
| Zions Bancorporation, 6.816% due November 19, 2035 | 2,245,503 | 1.5% |
| Lincoln National Corporation, 6.282% due May 17, 2066 | 2,116,436 | 1.4% |
| American Electric Power, 3.875% due February 15, 2062 | 1,922,544 | 1.3% |
| Energy Transfer LP, 6.750% due February 15, 2056 | 1,898,848 | 1.2% |
| Lloyds Banking Group PLC, 8.000% | 1,892,463 | 1.2% |
| Athene Holding Ltd., 6.350% | 1,827,832 | 1.2% |
| Xcel Energy Inc., 6.250% due October 15, 2085 | 1,773,096 | 1.2% |
| Eushi Finance Inc., 6.250% due April 01, 2056 | 1,694,968 | 1.1% |
| Pinnacle Financial Partners, 8.397% | 1,663,287 | 1.1% |
| Algonquin Power & Utilities Corp., 4.750% due January 18, 2082 | 1,615,839 | 1.1% |
| NatWest Group PLC, 4.600% | 1,576,618 | 1.0% |
| BNP Paribas, 7.450% | 1,558,260 | 1.0% |
| Canadian Imperial Bank of Commerce, 7.150% due July 28, 2082 | 1,546,966 | 1.0% |
| Manulife Financial Corporation, 7.117% due June 19, 2082 | 1,542,284 | 1.0% |
| Standard Chartered PLC, 7.750% | 1,523,411 | 1.0% |
| Sempra, 4.125% due April 01, 2052 | 1,477,413 | 1.0% |
| Dominion Energy Inc., 6.625% due May 15, 2055 | 1,462,309 | 1.0% |
| **Total** | **66,342,725** | **43.6%** |

*The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.*

BROMPTON FUNDS